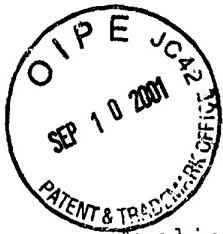


RECEIVED

JAN 28 2002

RECEIPT  
#134

TECH CENTER 1600/2900 AEOMICA-1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Sharron G. Penn et al.

Serial No. : 09/774,203

Confirmation No. : 7320

Filed: January 29, 2001

For: METHODS AND APPARATUS FOR PREDICTING,  
CONFIRMING, AND DISPLAYING FUNCTIONAL  
INFORMATION DERIVED FROM GENOMIC  
SEQUENCE

Group Art Unit : 1645

RECEIVED

NOV 14 2001

TECH CENTER 1600/2900

Hon. Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

2<sup>nd</sup> REQUEST FOR ISSUANCE OF CORRECTED FILING RECEIPT

Sir:

This is a 2<sup>nd</sup> request for issuance of a corrected  
Filing Receipt for the above-identified patent application.

The "Updated Filing Receipt" (copy enclosed) lists,  
among the domestic priority data, that benefit is sought of the  
filing date of "60/236,369, filed 09/29/2000". That is  
incorrect. The correct priority application is "60/236,359,  
filed 09/27/2000", as shown on the executed declaration and  
power of attorney (a copy of which is enclosed).

Accordingly, applicant respectfully requests that the  
Patent and Trademark Office issue a further corrected Filing

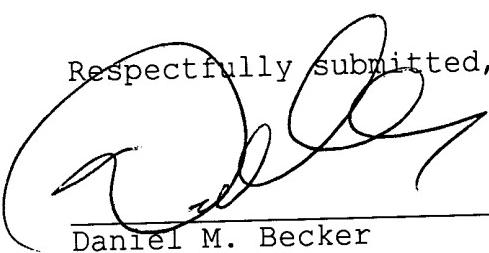
Receipt reflecting the correct priority claim for this application.

The Commissioner is hereby authorized to charge any fees required in connection with this Request to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.

4 Sept 2001

Date

Respectfully submitted,

  
Daniel M. Becker  
Registration No. 38,376  
Attorney for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (650) 617-4000

I Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 4 September 2001

YOLANDA KEPNER  
Name of Person Signing Certificate

Yolanda Kepner  
Signature of Person Signing Certificate

09-04-01

Date of Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 7320

SERIAL NUMBER 09/774,203	FILING DATE 01/29/2001 RULE	CLASS 435	GROUP ART UNIT 1645	ATTORNEY DOCKET NO. AEOMICA-1
-----------------------------	-----------------------------------	--------------	------------------------	-------------------------------------

## APPLICANTS

Sharron Gaynor Penn, San Mateo, CA;  
David Russell Rank, Fremont, CA;  
David Kagen Hanelz, Palo Alto, CA;

RECEIVED

NOV 14 2001

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/180,312 02/04/2000  
AND CLAIMS BENEFIT OF 60/207,456 05/26/2000  
AND CLAIMS BENEFIT OF 60/234,687 09/21/2000  
AND CLAIMS BENEFIT OF 60/236,359 09/27/2000  
AND A CIP OF 09/632,366 08/03/2000  
AND A CIP OF 09/608,408 06/30/2000

TECH CENTER 1600/2900

RECEIVED

JAN 28 2002

TECH CENTER 1600/2900

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

UNITED KINGDOM 0024263.6 10/04/2000

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 05/14/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	CA	10	92	11

## ACKNOWLEDGMENT

1473

## TITLE

Methods and apparatus for predicting, confirming, and displaying functional information derived from genomic sequence

FILING FEE RECEIVED 3982	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )		
<input type="checkbox"/> 1.18 Fees ( Issue )		
<input type="checkbox"/> Other _____		
<input type="checkbox"/> Credit		



OCT 10 2001  
RECEIVED  
U.S. PATENT AND TRADEMARK OFFICE

RECEIVED

NOV 14 2001

JAN 28 2002

TECH CENTER 1600/2900 TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/774,203	01/29/2001	1645	3982	AEOMICA-1	10	92	11

CONFIRMATION NO. 7320

1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

UPDATED FILING RECEIPT



\*OC000000006411624\*

Date Mailed: 08/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Sharron Gaynor Penn, San Mateo, CA;  
David Russell Rank, Fremont, CA;  
David Kagen Hanel, Palo Alto, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/180,312 02/04/2000  
AND CLAIMS BENEFIT OF 60/207,456 05/26/2000  
AND CLAIMS BENEFIT OF 60/234,687 09/21/2000  
AND CLAIMS BENEFIT OF 60/236,369 09/29/2000 \*  
AND A CIP OF 09/632,366 08/03/2000  
AND A CIP OF 09/608,408 06/30/2000  
(\*) Data inconsistent with PTO records.

RECEIVED

AUG 14 2001

FISH & NEAVE - PATENT DEPT.  
REFERRED TO DMB  
NOTED BY \_\_\_\_\_

Foreign Applications

UNITED KINGDOM 0024263.6 10/04/2000

If Required, Foreign Filing License Granted 05/14/2001

Projected Publication Date: 11/22/2001

Non-Publication Request: No

Early Publication Request: No

ORIG COPY  
SENT TO  
CALIFORNIA

BY L Garcia

**Title**

Methods and apparatus for predicting, confirming, and displaying functional information derived from genomic sequence

**Preliminary Class**

435

---

Data entry by : STEPHANOS, FEKERTE

Team : OIPE

Date: 08/10/2001



RECEIVED

JAN 28 2002

TECH CENTER 1600/2900

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231